



**Carlos E. Provencio**

*Associate General Counsel, Interactive Brokers LLC*

Carlos Provencio has broad experience as corporate counsel, most recently for one of the country's largest independent full-service wealth management and capital market firms, where he managed and litigated a docket of high-yield bond fund arbitrations. Carlos has tried or participated in more than 50 arbitration hearings before FINRA and AAA, and spearheaded global expert witness and key fact witness preparation and strategy. Carlos also has counseled large corporations on e-discovery, document management, and data privacy. Prior to moving in-house, Carlos clerked at the state and federal level and practiced for nine years in Washington, DC, as a business litigator and corporate compliance investigator for large national firms. He has held a Series 7 Securities License.